

#61

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Youqi Wang, Marco Falcioni,
Stephen J. Turner and C. Eric
Ramberg

Art Unit : Unknown
Examiner : Unknown

Serial No. : 10/024,649

Filed : December 17, 2001

Title : METHODS AND APPARATUS FOR PREPARING HIGH-DIMENSIONAL
COMBINATORIAL EXPERIMENTS

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BOX MISSING PARTS

Commissioner for Patents
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

In response to the Notice to File Missing Parts of Application under 37 U.S.C. §1.53(b) mailed February 27, 2002 (copy enclosed), applicant claims small entity status (see 37 CFR 1.27) and submits herewith the following:

- ☒ Payment of the basic filing fee of \$370;
- ☒ Payment of the additional independent claims fees (\$42 x 3) of \$336;
- ☒ Payment of the additional total claims fees (\$9 x 75) of \$675;
- ☒ Payment of the surcharge of \$65 for late filing of the basic filing fee and/or declaration;
- ☒ Payment of the Assignment Recordation of \$40;
- ☒ A check in the total amount of \$1486 is attached; and
- ☒ A Combined Declaration and Power of Attorney in compliance with 37 CFR §1.63.

It is understood that this perfects the application and no additional papers or filing fees are required. Please apply any other charges or credits to Deposit Account No. 06-1050.

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

4-25-02

Date of Deposit

Patricia Wiggins

Patricia Wiggins

Applicant : Youqi Wang, Marco Falcioni, Stephen J.
Turner and C. Eric Ramberg
Serial No. : 10/024,649
Filed : December 17, 2001
Page : 2

Attorney's Docket No.: 10555-025001 / 2000-100P1

Respectfully submitted,

Date: _____

4/25/02



Timothy A. Porter
Reg. No. 41,258

Fish & Richardson P.C.
500 Arguello Street, Suite 500
Redwood City, California 94063
Telephone: (650) 839-5070
Facsimile: (650) 839-5071

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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/024,649	12/17/2001	2881	0.00	10555-025001	11	95	11

CONFIRMATION NO. 2638

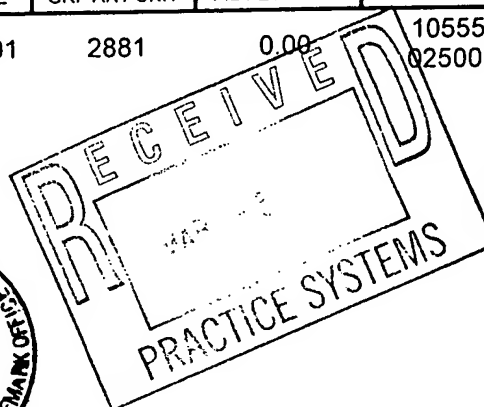
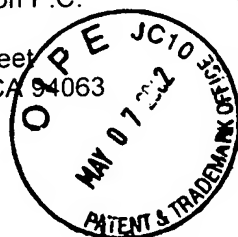
FILING RECEIPT



OC000000007541429*

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Date Mailed: 02/27/2002

TIMOTHY A. PORTER
Fish & Richardson P.C.
Suite 500
500 Arguello Street
Redwood City, CA 94063

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Youqi Wang, Atherton, CA;
Marco Falcioni, San Francisco, CA;
Stephen J. Turner, San Jose, CA;
C. Eric Ramberg, San Jose, CA;

Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/256,270 12/15/2000

Foreign Applications

If Required, Foreign Filing License Granted 02/26/2002

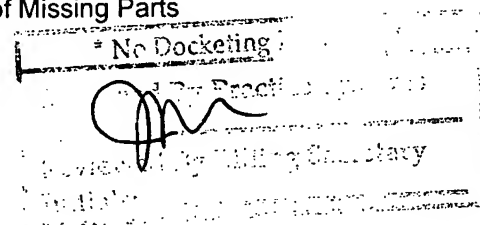
Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

Title

Methods and apparatus for ~~designing~~ high-dimensional combinatorial experiments
preparing



Preliminary Class
250

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

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NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

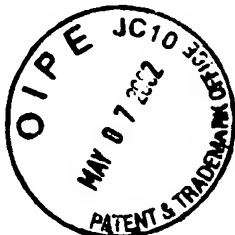


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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/024,649	12/17/2001	Youqi Wang	10555-025001

TIMOTHY A. PORTER
Fish & Richardson P.C.
Suite 500
500 Arguello Street
Redwood City, CA 94063



CONFIRMATION NO. 2638

FORMALITIES LETTER



OC000000007541431

Date Mailed: 02/27/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

COPY OF PAPERS
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An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$2022.
 - \$1350 for 75 total claims over 20.
 - \$672 for 8 independent claims over 3 .
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 2892.

05/03/2002 EABRANA1 00000099 10024649

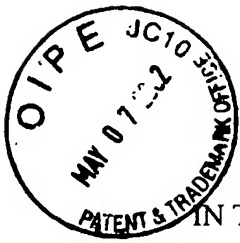
01 FC:201	370.00 OF
02 FC:205	65.00 OF
03 FC:203	375.00 OF
04 FC:202	130.00 OF

A copy of this notice **MUST** be returned with the reply.

Customer Service Center

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PART 2 - COPY TO BE RETURNED WITH RESPONSE



Receipt #
5

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Youqi Wang, Marco Falcioni,
Stephen J. Turner and C. Eric
Ramberg

Art Unit : Unknown
Examiner : Unknown

COPY OF PAPERS
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Serial No. : 10/024,649

Filed : December 17, 2001

Title : METHODS AND APPARATUS FOR PREPARING HIGH-DIMENSIONAL
COMBINATORIAL EXPERIMENTS

Commissioner for Patents
Washington, D.C. 20231

REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT

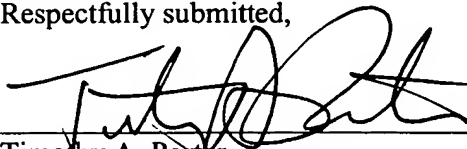
Please correct the Filing Receipt for the above-referenced application to reflect the title as:
METHODS AND APPARATUS FOR PREPARING HIGH-DIMENSIONAL
COMBINATORIAL EXPERIMENTS

Please supply a corrected Filing Receipt to the undersigned with respect to this application.
A copy of the original Filing Receipt showing the desired changes in red ink is attached for your
convenience.

No fee is believed to be due. If, however, there are any charges or credits, please apply them
to Deposit Account No. 06-1050.

Respectfully submitted,

Date: 4/25/02

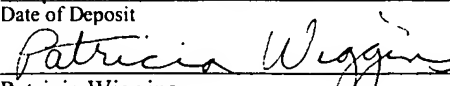

Timothy A. Porter
Reg. No. 41,258

Fish & Richardson P.C.
500 Arguello Street, Suite 500
Redwood City, California 94063
Telephone: (650) 839-5070
Facsimile: (650) 839-5071

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Patricia Wiggins

FISH & RICHARDSON P.C.

500 ARGUELLO STREET
Suite 500
Redwood City, California
94063-1526

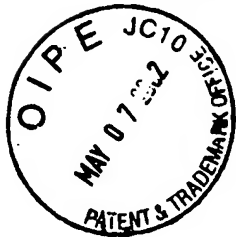
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Web Site
www.fr.com

Frederick P. Fish
1855-1930

W.K. Richardson
1859-1951



December 17, 2001

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Attorney Docket No.: 10555-025001

Box Patent Application
Commissioner for Patents
Washington, DC 20231

Presented for filing is a new patent application claiming priority from a provisional patent application of:

Applicant: YOUQI WANG, MARCO FALCIONI, STEPHEN J. TURNER, AND
C. ERIC RAMBERG

Title: METHODS AND APPARATUS FOR PREPARING HIGH-
DIMENSIONAL COMBINATORIAL EXPERIMENTS

Enclosed are the following papers, including those required to receive a filing date under 37 CFR §1.53(b):

	<u>Pages</u>
Specification	52
Claims	24
Abstract	1
Declaration	2 (unsigned)
Drawing(s)	11

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Enclosures:

— Postcard.

Under 35 USC §119(e)(1), this application claims the benefit of prior U.S. provisional application 60/256,270, filed December 15, 2000.

Basic filing fee	\$740
Total claims in excess of 20 times \$18	\$1350
Independent claims in excess of 3 times \$84	\$672
Fee for multiple dependent claims	\$0
Sub-total filing fee:	\$2762
Reduction by 1/2 for Small Entity	\$1381
Total Filing Fee Due	\$1381

Under 37 CFR §1.53(f), no filing fee is being paid at this time. If this application is found to be incomplete, or if a telephone conference would otherwise be helpful, please call the undersigned at (650) 839-5070.

Kindly acknowledge receipt of this application by returning the enclosed postcard.



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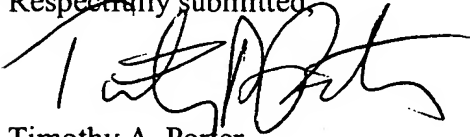
December 17, 2001

Page 2

Please send all correspondence to:

TIMOTHY A. PORTER
Fish & Richardson P.C.
500 Arguello Street, Suite 500
Redwood City, California 94063

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'Timothy A. Porter', written over the typed name.

Timothy A. Porter
Reg. No. 41,258

Enclosures

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FOR

UNITED STATES LETTERS PATENT

TITLE: METHODS AND APPARATUS FOR PREPARING HIGH-
DIMENSIONAL COMBINATORIAL EXPERIMENTS

APPLICANT: YOUQI WANG, MARCO FALCIONI, STEPHEN J.
TURNER, AND C. ERIC RAMBERG

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